

Job titles and security questions Business name:

Licence number:

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| Please provide the following details for each named individual you wish to add to the licence record. All details must be provided: |
| Job title |   |
| No. of people employed in this capacity |   |
|  |
| Please provide answers to all the security questions below for each person you wish to add to the licence record in the above job role. Please note ALL questions must be answered:If the answer to any of the following questions is yes, you must also provide the individual’s full name including title, date of birth and business phone number. |
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| 1 | Does the individual require a visa or work permit to work in the UK? | Yes [ ]  | No [ ]  |
| 2 | If you have answered ‘yes’ to question 1 above, please provide a brief description of the immigration status, any visa/permit number and the type of visa/permit held by the individual:  |
| 3 | Is the individual an un-discharged bankrupt? | Yes [ ]  | No [ ]  |
| 4 | If you have answered ‘yes’ to question 3 above, please provide further details including the date of the bankruptcy and the bankruptcy number:   |
| 5 | Is the individual disqualified as a company director or disqualified from acting in any managerial capacity? | Yes [ ]  | No [ ]  |
| 6 | If you have answered ‘yes’ to question 5 above, please provide a brief description of the circumstances that resulted in the disqualification:  |
| 7 | Has the individual got any unspent criminal convictions? | Yes [ ]  | No [ ]  |
| 8 | If you have answered ‘yes’ to question 7 above, please provide further details including the date of conviction and a brief description of the conviction or alternative sanctions or penalties:  |
| 9 | Have you been interviewed or charged for an alleged offence that has not reached a decision? | Yes [ ]  | No [ ]  |
| 10 | If you have answered ‘yes’ to question 9 above, please provide the date and a brief description of the interview or (alleged) offence, sanction or penalty:  |
| 11 | Have you contravened the requirements and standards of another regulatory authority in the last 12 months? | Yes [ ]  | No [ ]  |
| 12 | If you have answered ‘yes’ to question 11 above, please provide the date and a brief description of this breach:  |
| 13 | Has the individual been the owner, director, or partner, or been concerned in the ownership or management of a business that has gone into insolvency, liquidation or administration whilst being connected with that organisation? | Yes [ ]  | No [ ]  |
| 14 | If you have answered ‘yes’ to question 13 above, please provide the name of the business, date of involvement, and their position within the business structure.Did the business fail with any associated tax debts? If so, please provide all details of the tax debts:  |
| 15 | Has the individual been investigated, disciplined, censured or criticised by a regulatory or professional body, court or tribunal, whether publicly or privately in matters relating to any business with which you have been involved in the last 5 years? | Yes [ ]  | No [ ]  |
| 16 | If you have answered ‘yes’ to question 15 above, please provide the name of the business and full details below:  |
| 17 | Has the individual been dismissed from, or asked to resign and resigned from, employment or from a position of trust, fiduciary, appointment or similar in the last 5 years? | Yes [ ]  | No [ ]  |
| 18 | If you have answered ‘yes’ to question 17 above, please provide the name of the business and full details below:  |
| 19 | Has the individual previously held or currently hold a GLA/GLAA licence in the last 10 years? | Yes [ ]  | No [ ]  |
| 20 | If you have answered ‘yes’ to question 19 above, please provide further details including the business name and licence number of the other GLA/GLAA licence holder:  |
| 21 | Has the individual been named on another GLA/GLAA licence in the last 10 years? | Yes [ ]  No [ ]  |
| 22 | If you have answered ‘yes’ to question 21 above, please provide further details including the business name and licence number of the other GLA/GLAA licence holder:  |
| 23 | Has the individual worked for another GLA/GLAA licence holder in the last 10 years? | Yes [ ]  No [ ]  |
| 24 | If you have answered ‘yes’ to question 23 above, please provide further details including the business name and licence number of the other GLA/GLAA licence holder:  |
| 25 | Has the individual advised another GLA/GLAA licence holder in the last 10 years? | Yes [ ]  No [ ]  |
| 26 | If you have answered ‘yes’ to question 25 above, please provide further details including the business name and licence number of the other GLA/GLAA licence holder:  |
| Name of personcompleting form |   |
| Date |   |